Attorney Docket: 028986.52769US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KAI RUELKE

Serial No.: (To Be Assigned)

Group Art Unit: (To Be Assigned)

Filed: September 22, 2003

Examiner: (To Be Assigned)

Title: Run-In Coating For Axial-Flow Compressor Of Gas Turbine Engines

And Method Of Using And Making Same

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

STATEMENT OF RELEVANCE

The relevance of these references to the subject matter of the present invention is given in the <u>Background and Summary of the Invention</u> in the specification of the present invention.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket No. 028986.52769US).

Respectfully submitted,

September 22, 2003

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DDE:alw

FORM PTO-1449	U.S. Department of Commerce Patent & Trademark Office	Attorney Docket No. 028986.52769US	Serial No. (To Be Assigned)			
u		Applicant: Kai RUELKE				
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Filing Date September 22, 2003	Group (To Be Assigned)			

(Use several sheets if necessary)				September 22, 2003 (To Be Assigned)			ed) ————					
U.S. PATENT DOCUMENTS												
Examiner Initial		Document Number	Date		Name	Class	Sub- Class	Filing Date (if appropriate)				
	AA	4,063,848	12/20/1977	Wiggi	ns et al.	415	206	03/24/1976				
	AB				·							
	AC											
	AD											
	AE											
	AF											
	AG											
	AH						J					
	AI						<u> </u>					
	AJ			<u> </u>			<u> </u>					
FOREIGN PATENT DOCUMENTS												
Examiner	 	Document	'n	`			Sub-	TRANSLATION				
Initial	A 77	Number	Date	D A IDI	Name	Class	Class	Yes_	No No			
	AK	WO 02/36950	11/05/2001	DATI			 					
	AL	DE 23 44 666	03/28/1974	Roben				<u> </u>				
	A M	JP 09 144 699	06/03/1997	Naka	nishi et al.							
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	AP				· · · · · · · · · · · · · · · · · · ·		<u></u>					
	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)											
	AQ											
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EXAMINER DATE CONSIDERED												
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and												

not considered. Include copy of this form with next communication.